

Sociology 280H  
Fall 2018

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Office hours: Tues. 4:00-5:30 PM  
Wed. 4:15-5:00 PM

### Development and Modernization

This seminar will provide an overview of the variety of theoretical perspectives that have sought to explain the difference in the levels of development characterizing countries in the Global North versus those in the Global South, as well as of the “development project,” itself. Particular emphasis will be placed on understanding the relationship that exists between the international economy and international actors, the state, and national classes, and the manners in which it conditions the course of agricultural transformation, industrialization, and democratization in the Global South. The impact of development on women, racial/ethnic minorities, and the environment will also be addressed. Finally, varied responses to the predominant model of development will be examined, including emigration and pursuit of a socialist model of development.

#### Course Requirements:

Written work will consist of one major paper. This paper can be either a research paper or a research prospectus that stems from the issues central to this course. Papers must be double-spaced and no longer than 20 pages (Font size 12, and 1" margins, please). A prose outline of the paper must be submitted by 9 October; and the final paper (to be described in class) is due by 12:00 PM on 10 December.

Course requirements also include participation in seminar sessions. In addition, students will be required to organize several of the weekly discussions.

#### Course Materials:

A reader of required articles has been put together for the course, which can be purchased at University Copy (2425 Channing Way - 549-2335). In addition, there are four required books, which can be purchased from ASUC Bookstore. The required books are the following:

-Cardoso, Fernando Henrique, and Enzo Faletto, Dependency and Development in Latin America. Berkeley: University of California Press (1979).

-Ferguson, James, The Anti-Politics Machine: “Development,” Depoliticization, and Bureaucratic Power in Lesotho. Minneapolis, MINN: University of Minnesota Press (1994).

- Bates, Robert, Markets and States in Tropical Africa. Berkeley: University of California Press (1981).

-Corson, Catherine A., Corridors of Power: The Politics of Environmental Aid to Madagascar. New Haven: Yale University Press (2016).

### Course Readings:

#### **Theorizing about Development**

Week 1: Introduction and Modernization Theory (28 August-4 September)

##### Required:

\*Leys, Colin, The Rise and Fall of Development Theory. Chapter 1 (pp. 3-44). Nairobi, Bloomington and London: EAEP/Indiana University Press/James Currey (1996).

\*Lipset, Seymour Martin, "Values, Education, and Entrepreneurship." In Elites in Latin America, edited by Seymour Martin Lipset and Aldo Solari, pp. 3-60. New York: Oxford University Press (1967).

\*McClelland, David C., "The Achievement Motive in Economic Growth." In The Gap Between Rich and Poor, edited by Mitchell Seligson, pp. 53-69. Boulder, CO: Westview Press (1984).

\*Rostow, W.W., The Stages of Economic Growth: A Non-Communist Manifesto, chapters 2-4 (pp. 4-58). New York: Cambridge University Press (1990).

##### Recommended:

-Silvert, Kalman H., "The Politics of Social and Economic Change in Latin America," Sociological Review Monographs, no. 11 Latin American Sociological Studies, University of Keele, edited by Paul Halmos), pp. 47-58. London: Routledge & Kegan Paul PLC (Feb., 1967).

-Huntington, Samuel, "Political Development and Political Decay," World Politics XVII, 3 (April 1965): 386-430.

-Huntington, Samuel P., Political Order in Changing Societies. New Haven, Conn.: Yale University Press (1968).

-Smelser, Neil J., Essays in Sociological Explanation, Chapter 6. Englewood Cliffs, N.J.: Prentice Hall (1968): 125-146.

Week 2: Dependency Theory and the World Systems Perspective (5-11 September)

##### Required:

Cardoso, Fernando Henrique, and Enzo Faletto, Dependency and Development in Latin America. (Preface, Introduction, Chapter 2, Conclusion, and Post Scriptum.) Berkeley: University of California Press (1979).

\*Wallerstein, Immanuel, "Dependence in an Interdependent World: The Limited Possibilities of Transformation within the Capitalist World Economy," African Studies Review 17, 1 (April 1974): 1-26.

\*Arrighi, Giovanni, "The Three Hegemonies of Historical Capitalism," Review XIII, 3 (Summer 1990): 365-408.

Recommended:

-Frank, Andre Gunder, Capitalism and Underdevelopment in Latin America. New York: Monthly Review Press (1969).

-Dos Santos, Theotonio, "The Structure of Dependence," American Economic Review 60, 2 (May 1970): 231-236.

-Wallerstein, Immanuel, "The Rise and Demise of the Capitalist World System," Comparative Studies in Society and History 16, 4 (September, 1974): 387-415.

-Wallerstein, Immanuel, The Modern World-System. New York: Academic Press (1976).

-Gereffi, Gary, and Miguel Korzeniewicz, "Commodity Chains and Footwear Exports in the Semiperiphery." In Semiperipheral States in the World-Economy, edited by William G. Martin, pp. 45-68. New York: Greenwood Press (1990).

-Robinson, William I., "Transnational Processes, Development Studies and Changing Social Hierarchies in the World System: A Central American Case Study," Third World Quarterly 22, 4 (2001): 529-563.

Week 3: Questioning the "Development Project" (12-18 September)

Required:

Ferguson, James, The Anti-Politics Machine: "Development," Depoliticization, and Bureaucratic Power in Lesotho. Minneapolis, MN: University of Minnesota Press (1994).

Recommended:

-Li, Tania Murray, The Will to Improve: Governmentality, Development, and the Practice of Politics. Durham, NC: Duke University Press (2007).

-Scott, James C., Seeing Like a State: How Certain Schemes to Improve the Human Condition Have Failed. New Haven, CN: Yale University Press (1998).

-Escobar, Arturo, Encountering Development: The making and unmaking of the Third World. Princeton, N.J.: Princeton University Press (1995).

**Visions of the State**

Week 4: The Rational Choice Model (19-25 September)

Required:

Bates, Robert, Markets and States in Tropical Africa. Berkeley: University of California Press (2005).

Recommended:

- Bates, Robert, Beyond the Miracle of the Market. Cambridge: Cambridge University Press (1989).
- Popkin, Samuel, The Rational Peasant. Berkeley, Ca.: University of California Press (1979).
- Geddes, Barbara, "A Game Theoretical Model of Reform in Latin American Democracies," American Political Science Review 85, 2 (June 1991): 371-392.

Week 5: Neoliberalism and the State (26 September-2 October)

Required:

- \*Williamson, John, "What Washington Means by Policy Reform." In Latin American Adjustment: How Much Has Happened, edited by John Williamson, pp. 7-20. Washington, D.C.: Institute for International Economics (1990).
- \*Loxley, John, Debt and Disorder: External Financing for Development. Chapters 1 and 2 (pp. 1-56). Boulder, CO: Westview Press (1986).
- \*Huber, Evelyne, and Fred Solt, "Successes and Failures of Neoliberalism," Latin American Research Review 39, 3 (October 2004): 150-164.
- \*Veltmeyer, Henry, et al., Neoliberalism and Class Conflict in Latin America: A Comparative Perspective on the Political Economy of Structural Adjustment. Chapter 2 (pp. 9-56). New York: St. Martin's Press (1997).
- \*Brown, Wendy, "Neo-liberalism and the End of Liberal Democracy," Theory and Event 7, 1 (2003).

Recommended:

- Kahler, Miles (Ed.), The Politics of International Debt. Ithaca, N.Y.: Cornell University Press (1986).
- Haggard, Stephen and Robert Kaufman (eds.), The Politics of Economic Adjustment. Princeton: Princeton Univ. Press (1992).
- Margheritis, Ana, and Anthony W. Pereira, "The Neoliberal Turn in Latin America: The Cycle of Ideas and the Search for an Alternative," Latin American Perspectives 34, 3 (May 2007): 25-48.

Week 6: More Visions of the Role of the State in Development (3-9 October)

Required:

- \*Rueschemeyer, Dietrich, and Peter Evans, "The State and Economic Transformation: Toward an Analysis of the Conditions Underlying Effective Intervention." In Peter Evans, Dietrich Rueschemeyer, and Theda Skocpol (Eds.), Bringing the State Back In, pp. 44-77. Cambridge: Cambridge University Press (1985).
- \*Evans, Peter, "Predatory, Developmental and Other Apparatuses: A Comparative Political Economy Perspective on the Third World State," Sociological Forum 4, 4 (December, 1989): 561-587.

\*Cummings, Bruce, "The Origins and Development of the Northeast Asian Political Economy: Industrial Sectors, Product Cycles, and Political Consequences." In The Political Economy of the New Asian Industrialism, edited by Frederic Deyo, pp. 44-83. Ithaca: Cornell University Press (1987).

\*Weiss, Linda, "Globalization and the Myth of the Powerless State," New Left Review 225 (Sept./Oct. 1997): 3-27.

Recommended:

-Evans, Peter, et.al., Bringing the State Back In.

-Alavi, Hamza, "The State in Post-Colonial Societies: Pakistan and Bangladesh," New Left Review 74 (July-August 1972): 59-81.

-Leys, Colin, "The 'Overdeveloped' Post Colonial State: A Re-evaluation," Review of African Political Economy 5 (January-April 1976): 39-48.

-Ziemann, M., and M. Lazendorfer, "The State in Peripheral Societies." In The Socialist Register, edited by Ralph Miliband and John Saville, pp. 143-177. London: Merlin (1977).

**Development and Some of its Varied Effects**

Week 7: Ethnicity and State-Building (10-16 October)

Required:

\*Nash, Manning, "The Multiple Society in Economic Development: Mexico and Guatemala." In Political Development and Social Change, edited by Jason Finkle and Richard Gable, pp. 372-379. New York: Wiley (1971).

\*Loveman, Mara, "The Race to Progress: Census-Taking and Nation-Making in Brazil (1870-1920)," Hispanic American Historical Review 89, 3 (2009): 435-470.

\*Enloe, Cynthia, "State-Building and Ethnic Structures: Dependence on International Capitalist Penetration." In Processes of the World-System, edited by Terence Hopkins and Immanuel Wallerstein, pp. 266-288. Beverly Hills: Sage (1980).

\*Mamdani, Mahmood, "From Conquest to Consent as the Basic of State Formation: Reflections on Rwanda," New Left Review 1, 216 (March-April 1996): 3-36.

Recommended:

- Postero, Nancy Grey, Now we are Citizens: Indigenous Politics in Postmulticultural Bolivia. Stanford, CA: Stanford University Press (2007).

- Yashar, Deborah J., Contesting Citizenship in Latin America: The Rise of Indigenous Movements and the Postliberal Challenge. New York: Cambridge University Press (2005).

- Hart, Gillian, Disabling Globalization: Places of Power in Post-Apartheid South Africa. Pietermaritzburg: University of Natal Press (2002).

-Nagata, J., "The Status of Ethnicity and the Ethnicity of Status," International Journal of Comparative Sociology XVII, 3- 4: 242-260.

-Smith, Anthony, The Ethnic Origins of Nations. Oxford: Basil Blackwell (1986).

-Saul, John, "The Dialectic of Class and Tribe" (Chapter 14), The State and Revolution in Eastern Africa. New York: Monthly Review (1979).

Week 8: Women and Development (17-23 October)

Required:

- \*Jaquette, Jane S., "Women and Modernization Theory: A Decade of Feminist Criticism," World Politics 34, 2 (Jan. 1982): 267-284.
- \*Bruce, Judith, "Homes Divided," World Development 17, 7 (1989): 979-991.
- \*Elson, Diane, "Male Bias in Structural Adjustment." In Women and Adjustment Policies in the Third World. edited by Haleh Afshar and Carolyne Dennis, pp. 46-68. New York: St. Martin's Press (1992).
- \*Kabeer, Naila, "Conflicts over Credit: Re-Evaluating the Empowerment Potential of Loans to Women in Rural Bangladesh," World Development 29, 1 (2001): 63-84.
- \*Molyneux, Maxine, "Mobilization without Emancipation? Women's Interests, State, and Revolution." In Transition and Development: Problems of Third World Socialism, edited by Richard Fagen et.al., pp. 280-302. New York: Monthly Review Press (1986).

Recommended:

- World Development, "Gender, Adjustment, and Macroeconomics." Special issue, 23, 11 (November 1995).
- Benería, Lourdes, Women and Development: The Sexual Division of Labor in Rural Societies. New York: Praeger (1982).
- Boserup, Ester, Women's Role in Economic Development. New York: St. Martin's Press (1970).
- Momsen, Janet Henshall, "Women and Work in Urban Areas" (Chapter), Women and Development in the Third World. London: Routledge (1991).
- Kruks, Sonia et al., Promissory Notes: Women in the Transition to Socialism. New York: Monthly Review (1989).

Week 9: Development and the Environment (24-30 October)

Required:

Corson, Catherine A., Corridors of Power: The Politics of Environmental Aid to Madagascar. New Haven: Yale University Press (2016).

Recommended:

- Baviskar, Amita, In the Belly of the River: Tribal Conflicts over Development in the Narmada Valley. New Delhi: Oxford University Press (1995).
- O'Rourke, Dara, Community-Driven Regulation: Balancing Development and the Environment in Vietnam. Cambridge, MA: MIT Press (2004).
- Peluso, Nancy Lee, Rich Forests, Poor People: Resource Control and Resistance in Java. Berkeley: University of California Press (1992).

- Peluso, Nancy Lee, and Michael Watts (eds.), Violent Environments. Ithaca, NY: Cornell University Press (2001).
- Peet, Richard, and Michael Watts (eds.), Liberation Ecologies, Second Edition: Environment, Development, Social Movements. London: Routledge (1996).

### **Some Additional Responses to the Predominant Model of Development**

#### Week 10: Emigration and Development (31 October-6 November)

- \*Portes, Alejandro, "International Migration and National Development: From Orthodox Equilibrium to Transnationalism," Sociology of Development 2, 2 (2016): 73-92.
- \*Levitt, Peggy, "Transnationalizing Community Development: The Case of Migration between Boston and the Dominican Republic," Nonprofit and Voluntary Sector Quarterly, 26, 4 (1997): 509-526.
- \*Levitt, Peggy, "Social Remittances: Migration Driven Local-Level Forms of Cultural Diffusion," The International Migration Review 32, 4 (1998): 926-948.
- \*Sanderson, Matthew R., "To the Richest Go the Spoils? Immigration, Development, and the North-South Divide, 1970-2005," Sociology of Development 2,3 (2016): 273-292.
- \*Sassen, Saskia, "A Massive Loss of Habitat: New Drivers for Migration," Sociology of Development 2, 2 (2016): 204-233.

#### Recommended:

- de Haas, Hein, "The Migration and Development Pendulum: A Critical View on Research and Policy," International Migration, doi:10.1111/j.1468-2435.2012.00755.x (2012).
- Faist, Thomas, Margit Fauser, and Peter Kivisto, The Migration-Development Nexus: A Transnational Perspective. New York: Palgrave (2011).

#### Week 11: Socialist Models of Development – in the 20<sup>th</sup> Century (7-13 November)

##### Required:

- \*Fagen, Richard, et.al., Transition and Development: Problems of Third World Socialism: Introduction and chapters by Fitzgerald, Stallings, Fagen, and Lowy. New York: Monthly Review Press (1986).

##### Recommended:

- Thomas, Clive Y., Dependence and Transformation: The Economics of the Transition to Socialism. New York: Monthly Review (1974).
- White, Gordon, et.al (Eds.), Revolutionary Socialist Development in the Third World. Lexington, Ky.: The University Press of Kentucky (1983).
- Resnick, Idrian, The Long Transition: Building Socialism in Tanzania. New York: Monthly Review Press (1981).
- Saul, John (Ed.), A Difficult Road: The Transition to Socialism in Mozambique. New York: Monthly Review Press (1985).

Week 12: Socialist Models of Development – in the 21<sup>st</sup> Century (14-20 November)

Required Reading:

- \*Ellner, Steve, “The Distinguishing Features of Latin America’s New Left in Power: The Chávez, Morales, and Correa Governments,” Latin American Perspectives 39, 1 (January 2012): 96-114.
- \*Coronil, Fernando, The Magical State: Nature, Money, and Modernity in Venezuela. Introduction (pp. 1-18). Chicago: University of Chicago Press (1997).
- \*Piñeiro Harnecker, Camila, “Workplace Democracy and Social Consciousness: A Study of Venezuelan Cooperatives,” Science and Society 73, 3 (July 2009): 309-339.
- \*Hetland, Gabe, “The Crooked Line: From Populist Mobilization to Participatory Democracy in Chávez-Era Venezuela.” Qualitative Sociology 37(4) (2014): 373-401.
- \*TBD

Recommended Reading:

- Ellner, Steve, Rethinking Venezuelan Politics: Class, Conflict, and the Chávez Phenomenon. Boulder, CO: Lynne Rienner Publishers (2008).
- Wilpert, Gregory, Changing Venezuela by Taking Power. New York: Verso (2007).
- Cannon, Barry, Hugo Chávez and the Bolivarian Revolution: Populism and Democracy in a Globalised Age. Manchester, U.K.: Manchester University Press (2009).
- Smilde, David, and Daniel Hellinger (Eds.), Venezuela’s Bolivarian Democracy: Participation, Politics, and Culture under Chávez. Durham, N.C.: Duke University Press (2011).
- Purcell, Thomas Francis, “The Political Economy of Social Production Companies in Venezuela,” Latin American Perspectives 40,3 (May 2013): 146-168.

Week 13: Where Do We Go From Here? (21-27 November)

Required:

- \*Hart, Gillian, “D/developments after the Meltdown,” Antipode 41 (2010): 117-141.
- \*Escobar, Arturo, “Post-Development.” In The Elgar Companion to Development Studies, edited by David Alexander Clark, pp. 447-451. Cheltenham, UK: Edward Elgar (2006).
- \*Portes, Alejandro, “Sociology in the Hemisphere: Past Convergencies and a New Middle-Range Agenda.” In Rethinking Development in Latin America, edited by Charles Wood and Bryan Roberts, pp. 27-52. University Park, PA: Pennsylvania State University Press (2005).



### Recommended

-Kay, Cristóbal, and Robert Gwynne, "Relevance of Structuralist and Dependency Theories in the Neoliberal Period: A Latin American Perspective." In Critical Perspectives on Globalization and Neoliberalism in the Developing Countries, edited by Richard Harris and Melinda Seid, pp. 49-69. Leiden: Brill (2000).

-Sachs, Wolfgang (Ed.), The Development Dictionary: A Guide to Knowledge as Power. Atlantic Highlands, N.J., USA : Zed Books (1992).

-Jocelyn Viterna, Emily Clough, Killian Clarke, "Reclaiming the 'Third Sector' from 'Civil Society': A New Agenda for Development Studies," Sociology of Development 1,1 (2015): 173-207.

Week 5: Reactions to and Other Variants of Dependency Theory (27 Sept.-3 October)

Required:

- \*Laclau, Ernesto, "Feudalism and Capitalism in Latin America," New Left Review 67 (May-June 1971): 19-38.
- \*Amin, Samir, Selections from Unequal Development. New York: Monthly Review (1976): 198-246.
- \*Evans, Peter, Dependent Development: The Alliance of Multinational, State, and Local Capital in Brazil, Chapter 1 (pp. 14-54). Princeton: Princeton University Press (1979).

Recommended:

- Cardoso, Fernando Henrique, "The Consumption of Dependency Theory in the United States," Latin American Research Review XII, 3 (1977): 7-24.
- Emmanuel, Arghiri, Unequal Exchange: A Study of the Imperialism of Trade. London: New Left Books (1972).
- Foster-Carter, Aiden, "The Modes of Production Controversy," New Left Review 107 (Jan.-Feb. 1978): 47-77.
- Evans, Peter, "After Dependency: Recent Studies of Class, State, and Industrialization," Latin American Research Review 20, 2 (1985): 149-160.

Week 10: Visions of the Role of the State in Development (1-7 November)

Required:

- \*Collier, David, "Overview of the Bureaucratic-Authoritarian Model." In The New Authoritarianism in Latin America, edited by David Collier, pp. 19-32. Princeton, NJ: Princeton University Press (1979).
- \*Cardoso, Fernando Henrique, "On the Characterization of Authoritarian Regimes in Latin America." In The New Authoritarianism, pp. 33-57.
- \*O'Donnell Guillermo, "Tensions in the Bureaucratic-Authoritarian State and the Question of Democracy." In The New Authoritarianism, pp. 285-318.
- \*O'Donnell, Guillermo, "On the State, Democratization and Some Conceptual Problems: A Latin American View with Glances at Some Postcommunist Countries," World Development 21, 8 (1993): 1355-1369.

Recommended:

-O'Donnell, Guillermo, Modernization and Bureaucratic-Authoritarianism. Politics of Modernization Series, No. 9. Institute of International Studies, University of California, Berkeley (1973).

-O'Donnell, Guillermo, and Philippe C. Schmitter, Transitions from Authoritarian Rule: Tentative Conclusions about Uncertain Democracies. Baltimore: The Johns Hopkins University Press (1991).

-Remmer, Karen, and Gilbert Merkx, "Bureaucratic-Authoritarianism Revisited," Latin American Research Review 17, 2 (1982): 3-40.

\*Hamilton, Nora, The Limits of State Autonomy: Post-Revolutionary Mexico, Chapter 1 (pp. 3-25),. Princeton, N.J.: Princeton University Press (1982).

\*Vilas, Carlos, "Final Considerations: The Unequal Development of Social Revolutions" (Chapter 6), Ethnicity and National Development. Boulder Co.: Lynne Rienner Publishers (1989).

Week 12: Socialist Models of Development - The Bigger Countries (15-21 November)

Required:

\*Nove, Alec, "Socialism and the Soviet Experience" (Part 2), The Economics of Feasible Socialism Revisited. London: Unwin Hyman (1991).

\*Selden, Mark, "Rethinking China's Socialist Economic Development" (Chapter 1), "Cooperation and Conflict" (Chapter 3), and "Original Accumulation, Equality, and Late Industrialization" (Chapter 4), The Political Economy of Chinese Socialism. Armonk, N.Y.: M.E. Sharpe (1988).

Recommended:

-Selden, Mark, and Victor Lippit (Eds), The Transition to Socialism in China. Armonk, N.Y.: M.E. Sharpe (1982).

-Nove, Alec, The Soviet Economic System. Boston: Allen & Unwin (1986).

-Riskin, Carl, China's Political Economy: The Quest for Development Since 1949. Oxford: Oxford University Press (1987).

-Nolan, Peter, China's Rise, Russia's Fall: Politics, Economics, and Planning in the Transition from Stalinism. New York: St. Martin's Press (1995).